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# ALB Asia's Top 15 Capital Market Lawyers Methodology

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ALB is pleased to launch our ALB Asia's Top 15 Capital Market Lawyers Ranking which will be announced in ALB Asia magazine's November 2022 edition. This list will highlight the achievements of the top 15 Capital Market lawyers across Asia who maintained outstanding track record in the Capital Market practices, while earning accolades from their colleagues, superiors and clients.

We are now accepting submissions for ALB Asia's Top 15 Capital Market Lawyers until **Friday, 30 September 2022;6:00pm (Singapore time)**.

Please note that submission does not guarantee a place on the list, and lawyers need to be based in Asia for at least the past 12 months to qualify. Submissions from mainland China and Australia/New Zealand will not be considered for this list.

Past and existing achievements as well as profile of deals/matters handled, clients' recommendations, and any significant accolades and awards received will be taken into consideration when making the assessment.

Submissions are opened from 4 August 2022 to 30 September 2022.

We look forward to receiving your submission soon.

## HOW IT WORKS



**STEP 1**  
Download Nomination  
Forms



**STEP 2**  
Complete and submit  
form and client  
recommendations



**STEP 3**  
Client interviews begin,  
nominations are being  
evaluated



**STEP 4**  
Analyze, discuss, and  
validate findings



**STEP 5**  
Results are announced  
and published in ALB  
Asia Magazine

## How you can submit the nomination form

The completed nomination form may be submitted by law firms or lawyers. It provides a summary of your overall achievements. It also presents an opportunity to demonstrate why you should be ranked in our ALB Asia's Top 15 Capital Market Lawyers.

You may download the nomination form [here](#). It will guide you through all the relevant information required for our research. The information provided will be kept completely confidential.

## Who can submit and when

Submissions are open to any practicing Capital Market lawyer. One firm can nominate *up to TWO (2) lawyers*.

**Submission Conditions:**

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1. Lawyers need to be based in Asia for at least the past 12 months to qualify
2. Submissions from Mainland China and Australia/New Zealand **will not** be considered for this list

**Submission deadline Friday, 30 September 2022, 6.00pm (Singapore Time)**

Our deadlines are fixed in order to allow enough time for our research team to conduct thorough verifications, retrieve recommendations, and implement careful analysis on each submission

## How to make a submission

Simply provide a clear summary of the following in our nomination form:

1. Top 5 most significant achievements (equity and/or debt deals etc.) in candidate's career.
2. Top 5 most significant equity and/or debt deals handled in the last 18 months.
3. Up to 5 of candidate's key clients.
4. Up to 5 new clients wins in the past 18 months.
5. Significant accolades the candidate has received for Capital Market practices in the form of public recognition, awards etc.

## Providing client recommendations

We also require a list of referees, whom we may interview. A referee is usually a client, although it can be anyone who has experience and knowledge of your expertise over the preceding 18 months. Providing referees allows us to gather feedback on your practice. We use these interviews to understand the views and experiences of your clients and this helps to inform our decisions.

Clients' statements are referred to in the first instance and referees are only contacted should ALB require additional information regarding the matters included in the submission.

## Research

We conduct our ranking research based on several factors and considerations, all of which are assessed by our research team. Our dedicated research team will review all submissions provided and carry out the necessary interviews.

Sending across your nominations and being interviewed by ALB does not guarantee a ranking. This is only part of the research process. We also consider feedback of clients and other sources as part of the ranking process.

1. **Online Research**
  - a. Verify the candidate's profile via firm's website

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- b. Investigate and assess significant achievements, deals and matters submitted by the candidate
- c. Review and analyze the equity and/or debt deals which lawyers participated in the last 18 months and are available on public channels

## 2. Interview

Interview with clients and peers listed in the submission. This is usually done to assess client satisfaction and reputation in the industry if necessary

Details in 1 and 2 will be compiled and moved to the evaluation process.

## Evaluation Process

After research and the verification of facts in the nomination forms, all documents will be sent to an independent internal judging panel for evaluation.

## Evaluation Criteria

1. Significant equity and /or debt deals in entire
2. Significant equity and/or debt deals handled in the last 18 months
3. Clients that the candidate has acted for
4. New clients win in the last 18 months
5. Significant accolades / third-party award or recognition
6. Client recommendations and comments
7. Comments from managing partner or colleague

The evaluation is based on the following factors:

- **Complexity:** the degree to which the work required the crucial exercise of equity and/or debt expertise, business acumen, or the development of innovative solutions.
- **Breadth:** the breadth means the degree to which the work involved numerous jurisdictions, legal practice areas, parties and industries.
- **Significance:** the degree to which industry players or the region may be affected by the equity and/or debt matters;

## Announcement

ALB Asia's Top 15 Capital Market Lawyers results will be release in November 2022 issue of ALB Asia Magazine.